

## Trading volumes of the most important brokers (investment firms)

Class of Instrument	Structured finance instruments (non-professional investors)
Notification if <1 average trade per business day in the previous year	Yes

The broker that is most important based on the trading volume		Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bank Vontobel AG	549300L7V4MGECYRM576	19.21%	2.66%	8.12%	11.09%	0.00%
Leonteq Securities AG	ML61HP3A4MKTTA1ZB671	13.05%	64.57%	6.17%	6.88%	0.00%
UBS AG	549300WOIFUSNYH0FL22	3.32%	2.14%	1.94%	1.38%	0.00%
CREDIT SUISSE	549300506SI9CRFV9Z86	3.18%	0.14%	1.97%	1.20%	0.00%
Bank Frick & Co. AG	529900RQOBT3ZJMDRK43	3.02%	11.29%	2.41%	0.61%	0.00%

### General risk information

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