



Form for determining the ultimate beneficial owner of the entities in accordance with art. 3 sect. 1 letter b of the Due Diligence Ordinance (form T) incl. tax-relevant details

Note: although for purposes of readability the masculine gender form is used to reference persons in the relevant sections, this is, of course, always deemed to include members of both genders. The same applies to the plural form.

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Account holder

Main number

Relationship manager

Contact number

ID no. FL-0001.548.501-4
VAT no. 53884

Entity or account holder¹

Mandate or account number

As beneficial owner in accordance with art. 3 sect. 1 letter b of the Due Diligence Ordinance, the following was determined:

A natural person who is the effective, non-fiduciary founder or settlor

A natural person or legal entity² that is a member of the foundation council or board of directors or a trustee

A natural person who is a protector or person in a similar or equivalent function

A natural person who is a beneficiary

A natural person who ultimately controls the legal entity as a result of direct or indirect ownership rights or by some other means

An legal entity² which is the beneficiary and satisfies the criteria set out in Article 2(1)(b) of the Due Diligence Act. The contracting party must provide the appropriate evidence.

Mr

Ms

Academic title

Family name

Given name

Addition

Street/number

P.O. Box

Postcode

Town or city

Country of domicile

Nationality/nationalities

(In the case of dual or multiple nationality, please state all nationalities.)

Date of birth or Date of establishment

Country of birth or Country of establishment

A discretionary legal entity that is primarily established or operated in the interest of the following group of persons:

Deliberately providing false information on this form constitutes a criminal offence under the Liechtenstein Criminal Code (Strafgesetzbuch). Any changes must be immediately notified to the person subject to due diligence.

¹ In the case of other designations, the name of the account holder must be stated as a mandatory requirement.

² It is sufficient to nominate an entity only in the case of

- a legal entity which is a member of the foundation board or board of directors or who serves as a trustee;

- beneficiaries for whom the contracting partner provides evidence of entity status under the terms of Article 2(1)(b) of the Due Diligence Act.





Supplementary section

The purpose of this supplementary section is to determine the tax residency of the controlling persons of a passive non-financial entity (NFE) or of an investment firm located in a non-participating jurisdiction and managed by another financial institution.

Declaration of information relevant for tax purposes

Please list all countries of residence for tax purposes of the individuals mentioned on Form T together with the associated tax identification numbers (TINs) in the table below. Where no information is provided, the individual expressly confirms that the country of residence stated on Form T is their sole country of residence for tax purposes. In these circumstances, the individual must also provide the Bank with a TIN or, if a TIN is unavailable, indicate the reason for this, unless the individuals mentioned on Form T are not reportable persons.

Countries of residence for tax purposes (please list all countries)	TIN	Reason why TIN is not available

Declaration

The legal entity acknowledges that it will be obliged to submit the form "Identification of the ultimate beneficial owner of legal entities" in accordance with Article 3(1)(b) of the Ordinance of 17 February 2009 on Professional Due Diligence to Combat Money Laundering, Organized Crime and Terrorist Financing (Due Diligence Ordinance, DDO; Verordnung vom 17. Februar 2009 über berufliche Sorgfaltspflichten zur Bekämpfung von Geldwäscherei, organisierter Kriminalität und Terrorismusfinanzierung, Sorgfaltspflichtverordnung, SPV) (Form T) and the supplementary section of this due diligence form to the Bank within 90 days or by the end of the calendar year, whichever date is later, if any of the information provided in this supplementary section changes.

The legal entity acknowledges that, where the relevant international and national statutory provisions are satisfied, the Bank may be obliged to report information about the legal entity and its banking relationship(s) with the Bank, and about its controlling persons, to the Liechtenstein tax authority, to be passed on to the relevant tax authorities of the country, or countries, of residence for tax purposes.

The legal entity confirms that the information provided in this supplementary section is, to the best of its knowledge, complete and correct.

The legal entity acknowledges that the deliberate or negligent provision of false information in this supplementary section, failure to provide information about a change in circumstances and the provision of false information in connection with a change in circumstances are punishable offences under Article 29 of the Act of 5 November 2015 on the International Automatic Exchange of Information in Tax Matters (AEOI Act; Gesetz vom 5. November 2015 über den internationalen automatischen Informationsaustausch in Steuersachen, AIA-Gesetz).



By signing this supplementary section, the signatory confirms that he/she is authorised to represent the legal entity.

In accordance with Article 4a(2) of the Ordinance of 15 December 2015 on the International Automatic Exchange of Information in Tax Matters (AEOI Ordinance; Verordnung vom 15. Dezember 2015 über den internationalen automatischen Informationsaustausch in Steuer-sachen, AIA-Verordnung), the legal entity confirms that the contents of this supplementary section comply precisely with the provisions of Article 4a(1) and Annex 4 of the AEOI Ordinance.

Name and position in block letters

Place and date

Signature of the legal entity's authorised representative